

			PART 2. IRA TRUSTEE OR CUSTODIAN				
Social Security Number  Address Line 2  Email Address Line 2  Email Address Line 2  Email Address Line 2  Contribution Social Security Phone  Suffix  Phone  Organization Number  PART 3. CONTRIBUTION INFORMATION  Contribution Amount  Contribution Amount  Contribution Date  CONTRIBUTION TYPE (Select one)  1. Regular (Includes catch-up contributions) Contribution for Tax Year  Contribution for Tax Year  2. Rollower (Distribution from a Traditional IRA, SIMPLE IRA, or eligible employer-sponsored retirement plan that is being deposited into this Traditional IRA)  By selecting this transaction, I irrevocably designate this contribution as a rollower.  3. Transfer (Direct movement of assets from a Traditional IRA or SIMPLE IRA into this Traditional IRA)  By selecting this transaction, I irrevocably designate this contribution as 2017 conversion, or a 2017 eligible employer-sponsored retirement plan rollower to a Roth IRA into this Traditional IRA)  By selecting this transaction, I irrevocably designate this contribution as a recharacterization.  5. SEP Contribution (Contribution made under a simplified employee pension (SEP) plan; SEP contributions are reported for the year in which the contribution is made)  If YOU ARE 70% OR OLDER THIS YEAR, COMPLETE THE FOLLOWING IF APPLICABLE  (Checking any of the following will require adjusting your required minimum distribution.)  This is a rollower or transfer of assets removed last year. Due for Removal  This is a rollower or transfer of assets removed last year. Due for Removal  This is a rollower or transfer of assets removed assets that one contember 31 of last year.  The value of my portion of my deceased spouse's TRA on December 31 of last year  This is a rollower or transfer of we deceased spouse's TRA on December 31 of last year.  This is a rollower or transfer of we deceased spouse's TRA on December 31 of last year.  This is a rollower or transfer of we deceased spouse's TRA on December 31 of last year.  The value of my portion of my deceased spouse's TRA on December				To be comp	leted by the IRA trustee	or custodic	
Date of Birth Phone Address Inc 2  City/State/ZIP Phone Organization Number  PART 3. CONTRIBUTION INFORMATION  Contribution Amount Contribution Date CONTRIBUTION INFORMATION  Contribution Amount Contribution Date CONTRIBUTION INFORMATION  Contribution Amount Contribution Date CONTRIBUTION INFORMATION  Contribution for Tax Year Contribution (IRA) Information of Tax Year Contribution (IRA) Information of Tax Year Contribution (IRA) Translation of Tax Year Contribution (IRA) Translation of Tax Year Contribution (IRA) Translation (IRA) Tr	Name (First/MI/Last)	····	Name				
Email Address	Social Security Number		Address Line 1 _				
Account Number Suffix Phone Organization Number PART 3. CONTRIBUTION INFORMATION  Contribution Amount Contribution Date Contribution Amount Contribution Page 2. Contribution Page 2. Contribution Page 3. Contribution For Tax Year Contribution on Tax Year Contribution as a rollover.  3. Transfer (Direct movement of assets from a Traditional IRA) By selecting this transaction, I irrevocably designate this contribution as a recharacterization (An International IRA) By selecting this transaction, I irrevocably designate this contribution as a recharacterization (Contribution made under a simplified employee pension (SEP) plan; SEP contributions are reported for the year in which the contribution is made)  If YOU ARE 70% OR OLDER THIS YEAR, COMPLETE THE FOLLOWING IF APPLICABLE (Checking ony of the following will require adjusting your required minimum distribution.)  This is a rollover or transfer of assets removed last year. Date of Removal This is a transfer from my deceased spous's IRA on December 31 of last year Contribution of the IRA In any year after death. The value of my portion of my deceased spous's IRA on December 31 of last year Contribution of the IRA In any Year Account Year Contribution of a 2017 conversion or taxable retirement plan rollover to a Roth IRA.  PART 4. INVESTMENT AND DEPOSIT INFORMATION  NVESTMENT INFORMATION (Complete this section as applicable.)  Quantity or Amount (e.g., EFF, ACH, wire) (Additional documentation may be required and fees may apply.)	Date of Birth Phone		Address Line 2 _				
Contribution Amount Contribution Date	Email Address						
Contribution Amount Contribution Date	Account Number	Suffix	Phone	C	rganization Number _		
CONTRIBUTION TYPE   (Select one)     1. Regular   (Includes catch-up contributions)     1. Regular   (Includes catch-up contributions)     2. Rollover   (Ostribution from a Traditional IRA, SIMPLE IRA, or eligible employer-sponsored retirement plan that is being deposited into this Traditional IRA)     1. Resharacterization   (A nontaxobly designate this contribution as a rollover.     3. Transfer   (Direct movement of assets from a Traditional IRA or SIMPLE IRA into this Traditional IRA)     4. Recharacterization   (A nontaxoble movement of a Roth IRA contribution, a 2017 conversion, or a 2017 eligible employer-sponsored retirement plan rollover to a Roth IRA into this Traditional IRA)     8. Recharacterization   (A nontaxoble movement of a Roth IRA contribution, a 2017 conversion, or a 2017 eligible employer-sponsored retirement plan rollover to a Roth IRA into this Traditional IRA)	PART 3. CONTRIBUTION INFORMATION						
□ 1. Regular (Includes catch-up contributions) Contribution for Tax Year □ 2. Rollover (Distribution from a Traditional IRA, SIMPLE IRA, or eligible employer-sponsored retirement plan that is being deposited into this Traditional IRA) By selecting this transaction, I irrevocably designate this contribution as a rollover.  □ 3. Transfer (Direct movement of assets from a Traditional IRA) By selecting this transaction (A nontaxoble movement of a Roth IRA contribution, a 2017 conversion, or a 2017 eligible employer-sponsored retirement plan rollover to a Roth IRA into this Traditional IRA) By selecting this transaction, I irrevocably designate this contribution as a recharacterization.  □ 5. SEP Contribution (Contribution made under a simplified employee pension (SEP) plan, SEP contributions are reported for the year in which the contribution is made)  FYOU ARE 70% OR OLDER THIS YEAR, COMPLETE THE FOLLOWING IF APPLICABLE Checking any of the following will require adjusting your required minimum distribution.) □ This is a rollover or transfer of assets removed last year. Date of Removal □ This is a transfer from my deceased spouse's Traditional IRA and the assets were removed from the IRA in any year after death.  □ The value of my portion of my deceased spouse's IRA on December 31 of last year □ This is a recharacterization of a 2017 conversion or taxable retirement plan rollover to a Roth IRA.  PART 4. INVESTMENT AND DEPOSIT INFORMATION  NVESTMENT INFORMATION (Complete this section as applicable.) □ Cash or Check (If the contribution type is transfer, the check must be from a financial organization made poyable to the trustee for this IRA.) □ Internal Account □ Type (e.g., checking, savings, IRA) □ External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.) Name of Organization Sending the Assets □ Type (e.g., checking, savings, IRA) □ Type (e.g., checking, savings, IRA)	Contribution Amount	Contribution Da	te				
Contribution for Tax Year	CONTRIBUTION TYPE (Select one)						
By selecting this transaction, I irrevocably designate this contribution as a rollover.  3. Transfer (Direct movement of assets from a Traditional IRA or SIMPLE IRA into this Traditional IRA)  4. Recharacterization (A nontaxable movement of a Roth IRA contribution, a 2017 conversion, or a 2017 eligible employer-sponsored retirement plan rollover to a Roth IRA into this Traditional IRA)  By selecting this transaction, I irrevocably designate this contribution as a recharacterization.  5. SEP Contribution (Contribution made under a simplified employee pension (SEP) plan; SEP contributions are reported for the year in which the contribution is made)  F YOU ARE 70% OR OLDER THIS YEAR, COMPLETE THE FOLLOWING IF APPLICABLE Checking any of the following will require adjusting your required minimum distribution.)  This is a rollover or transfer of assets removed last year. Date of Removal  This is a transfer from my deceased spouse's IRA on December 31 of last year  This is a recharacterization of a 2017 conversion or taxable retirement plan rollover to a Roth IRA.  PART 4. INVESTMENT AND DEPOSIT INFORMATION  NVESTMENT INFORMATION (Complete this section as applicable.)  Quantity	- · · · · · · · · · · · · · · · · · · ·						
S. SEP Contribution (Contribution made under a simplified employee pension (SEP) plan; SEP contributions are reported for the year in which the contribution is made)  IF YOU ARE 70½ OR OLDER THIS YEAR, COMPLETE THE FOLLOWING IF APPLICABLE (Checking any of the following will require adjusting your required minimum distribution.)  □ This is a rollover or transfer of assets removed last year. Date of Removal □ This is a transfer from my deceased spouse's Traditional IRA and the assets were removed from the IRA in any year after death. □ The value of my portion of my deceased spouse's IRA on December 31 of last year □ This is a recharacterization of a 2017 conversion or taxable retirement plan rollover to a Roth IRA.  PART 4. INVESTMENT AND DEPOSIT INFORMATION  INVESTMENT INFORMATION (Complete this section as applicable.) □ Quantity or Amount (new or existing) Investment or Maturity Date Rate □ Investment Description □ Term or Amount (new or existing) □ Number □ Term or Maturity Date Rate □ Internal Account Account Account Number □ Type (e.g., checking, savings, IRA) □ External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.) □ Name of Organization Sending the Assets □ Type (e.g., checking, savings, IRA) □ Type (e.g., checki	Traditional IRA) By selecting this transaction, I irrevocably desig  3. Transfer (Direct movement of assets from a Tra  4. Recharacterization (A nontaxable movement of plan rollover to a Roth IRA into this Traditional I	nate this contributio aditional IRA or SIMF f a Roth IRA contribu RA)	n as a rollover. PLE IRA into this Traditi ution, a 2017 conversio	onal IRA) n, or a 2017 eligible			
Checking any of the following will require adjusting your required minimum distribution.)  This is a rollover or transfer of assets removed last year. Date of Removal  This is a transfer from my deceased spouse's Traditional IRA and the assets were removed from the IRA in any year after death.  The value of my portion of my deceased spouse's IRA on December 31 of last year  This is a recharacterization of a 2017 conversion or taxable retirement plan rollover to a Roth IRA.  PART 4. INVESTMENT AND DEPOSIT INFORMATION  NVESTMENT INFORMATION (Complete this section as applicable.)  Quantity Status Investment or Maturity Date Rate  Investment Description Or Amount (new or existing) Number or Maturity Date Rate  DEPOSIT METHOD  Cash or Check (If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this IRA.)  Internal Account  Account Number Type (e.g., checking, savings, IRA)  External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)  Name of Organization Sending the Assets Routing Number (Optional)  Type (e.g., checking, savings, IRA)	$\square$ 5. SEP Contribution (Contribution made under a s				ported for the year in	which the	
INVESTMENT INFORMATION (Complete this section as applicable.)  Quantity or Amount (new or existing)  DEPOSIT METHOD  Cash or Check (If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this IRA.) Internal Account Account Number  Type (e.g., checking, savings, IRA)  External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.) Name of Organization Sending the Assets  Routing Number (Optional)  Account Number  Type (e.g., checking, savings, IRA)				BLE			
Cash or Check (If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this IRA.)   Internal Account   Type (e.g., checking, savings, IRA)   External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)   Name of Organization Sending the Assets Routing Number (Optional)   Type (e.g., checking, savings, IRA)	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed lass  This is a transfer from my deceased spouse's Trad  The value of my portion of my deceased spouse's	our required minimut tyear. Date of Remo itional IRA and the a IRA on December 3	um distribution.) ovalssets were removed fr L of last year	_ om the IRA in any ye			
Cash or Check (If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this IRA.)  Internal Account Account Number Type (e.g., checking, savings, IRA)  External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)  Name of Organization Sending the Assets Routing Number (Optional)  Account Number Type (e.g., checking, savings, IRA)	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed last  This is a transfer from my deceased spouse's Trad  The value of my portion of my deceased spouse's  This is a recharacterization of a 2017 conversion of	our required minimut tyear. Date of Remo itional IRA and the a IRA on December 3: r taxable retirement	um distribution.) ovalssets were removed fr L of last year	_ om the IRA in any ye			
Cash or Check (If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this IRA.)  Internal Account Account Number Type (e.g., checking, savings, IRA)  External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)  Name of Organization Sending the Assets Routing Number (Optional)  Account Number Type (e.g., checking, savings, IRA)	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed lass.  This is a transfer from my deceased spouse's Trad  The value of my portion of my deceased spouse's.  This is a recharacterization of a 2017 conversion of PART 4. INVESTMENT AND DEPOSIT INF	our required minimulatives. Date of Remolitional IRA and the a IRA on December 32 retaxable retirement ORMATION  Son as applicable.)  Quantity	um distribution.)  oval ssets were removed fr  I of last year plan rollover to a Roti	om the IRA in any yen IRA.	 Term	Interest Rate	
Internal Account Account Number  External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)  Name of Organization Sending the Assets  Account Number  Type (e.g., checking, savings, IRA)  Type (e.g., checking, savings, IRA)	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed lass.  This is a transfer from my deceased spouse's Trad.  The value of my portion of my deceased spouse's.  This is a recharacterization of a 2017 conversion of PART 4. INVESTMENT AND DEPOSIT INF.  NVESTMENT INFORMATION (Complete this sections).	our required minimulatives. Date of Remolitional IRA and the a IRA on December 32 retaxable retirement ORMATION  Son as applicable.)  Quantity	um distribution.)  oval ssets were removed fr  I of last year plan rollover to a Roti	om the IRA in any yen IRA.	 Term		
External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)  Name of Organization Sending the Assets Routing Number (Optional)  Account Number Type (e.g., checking, savings, IRA)	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed lass.  This is a transfer from my deceased spouse's Trad.  The value of my portion of my deceased spouse's.  This is a recharacterization of a 2017 conversion of PART 4. INVESTMENT AND DEPOSIT INF.  NVESTMENT INFORMATION (Complete this section investment Description.)	our required minimulatives. Date of Remolitional IRA and the a IRA on December 32 retaxable retirement ORMATION  Son as applicable.)  Quantity	um distribution.)  oval ssets were removed fr  I of last year plan rollover to a Roti	om the IRA in any yen IRA.	 Term		
Account Number Type (e.g., checking, savings, IRA)	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed last  This is a transfer from my deceased spouse's Trad  The value of my portion of my deceased spouse's  This is a recharacterization of a 2017 conversion of PART 4. INVESTMENT AND DEPOSIT INF  NVESTMENT INFORMATION (Complete this section investment Description  DEPOSIT METHOD  Cash or Check (If the contribution type is transfer, Internal Account	our required minimulatives. Date of Remolitional IRA and the a IRA on December 32 or taxable retirement ORMATION  Son as applicable.)  Quantity or Amount	um distribution.)  oval ssets were removed fr I of last year c plan rollover to a Rotl  Status (new or existing)  from a financial organi	om the IRA in any yen IRA.  Investment Number	Term or Maturity Date	Rate	
	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed last  This is a transfer from my deceased spouse's Trad  The value of my portion of my deceased spouse's  This is a recharacterization of a 2017 conversion of PART 4. INVESTMENT AND DEPOSIT INF  NVESTMENT INFORMATION (Complete this section investment Description  DEPOSIT METHOD  Cash or Check (If the contribution type is transfer, internal Account Account Number  External Account (e.g., EFT, ACH, wire) (Additional)	our required minimulatives. Date of Remolitional IRA and the a IRA on December 32 retaxable retirement ORMATION  Son as applicable.) Quantity or Amount	ssets were removed from a financial organiary by the second secon	om the IRA in any yen IRA.  Investment Number  zation made payable ong, savings, IRA)	Term or Maturity Date	Rate	
	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed last  This is a transfer from my deceased spouse's Trad  The value of my portion of my deceased spouse's  This is a recharacterization of a 2017 conversion of this is a recharacterization of a 2017 conversion of the contribution (Complete this sector)  Investment Description  DEPOSIT METHOD  Cash or Check (If the contribution type is transfer, Internal Account Account Number  External Account (e.g., EFT, ACH, wire) (Additional Name of Organization Sending the Assets	our required minimulative year. Date of Remolitional IRA and the a IRA on December 3: result taxable retirement ORMATION  Ton as applicable.)  Quantity or Amount  the check must be all documentation managements.	ssets were removed fraction of last year splan rollover to a Rotle status (new or existing) from a financial organic Type (e.g., checking) be required and fee	om the IRA in any year IRA.  Investment Number  zation made payable ing, savings, IRA)s may apply.) Routing Numbe	Term or Maturity Date  c to the trustee for this	Rate	
PART 5. SIGNATURE	Checking any of the following will require adjusting y  This is a rollover or transfer of assets removed last  This is a transfer from my deceased spouse's Trad The value of my portion of my deceased spouse's  This is a recharacterization of a 2017 conversion of PART 4. INVESTMENT AND DEPOSIT INF  NVESTMENT INFORMATION (Complete this sector Investment Description  DEPOSIT METHOD  Cash or Check (If the contribution type is transfer, Internal Account Account Number  External Account (e.g., EFT, ACH, wire) (Additional Name of Organization Sending the Assets	our required minimulative year. Date of Remolitional IRA and the a IRA on December 3: result taxable retirement ORMATION  Ton as applicable.)  Quantity or Amount  the check must be all documentation managements.	ssets were removed fraction of last year splan rollover to a Rotle status (new or existing) from a financial organic Type (e.g., checking) be required and fee Type (e.g., checking)	Investment Number  as avings, IRA) Ing, savings, IRA)	Term or Maturity Date  to the trustee for this	Rate	

Signature of IRA Owner 107 / 2314 (Rev. 3/2018)

## RULES AND CONDITIONS APPLICABLE TO TRADITIONAL IRA CONTRIBUTIONS

The IRA contribution rules are often complex. The general rules are listed below. If you have any questions regarding a contribution, please consult with a competent tax professional or refer to IRS Publication 590-A, *Contributions to Individual Retirement Arrangements (IRAs)*, for more information. This publication is available on the IRS website at www.irs.gov or by calling 1-800-TAX-FORM.

#### **REGULAR**

The total amount you may contribute to a Traditional IRA for any tax year cannot exceed the lesser of the published annual limit or 100 percent of your earned income and other eligible compensation. If you also maintain a Roth IRA, the maximum contribution to your Traditional IRA is reduced by any contributions you make to your Roth IRA.

- You may make a contribution for the prior year up until your tax filing deadline for that year, not including extensions. Designating a contribution for the prior year is irrevocable.
- If you are age 50 or older by the end of the year, you may be eligible to make an additional catch-up contribution to an IRA for that tax year.

#### ROLLOVER

A rollover is a distribution and a subsequent tax-free movement of assets from any of your Traditional IRAs, SIMPLE IRAs, or eligible employer-sponsored retirement plans to your Traditional IRA.

- You are permitted to roll over only one distribution from an IRA (Traditional, Roth, or SIMPLE) in a 12-month period, regardless of the number of IRAs you own. There is no limit to the number of rollovers you may perform from any of your eligible employer-sponsored retirement plans to a Traditional IRA.
- Any required minimum distributions paid to an IRA owner or beneficiary may not be rolled over.
- A rollover generally must be completed within 60 days from the date you receive the assets.
- · A rollover contribution of Roth IRA assets may not be made to a Traditional IRA.
- A rollover contribution of assets distributed from a SIMPLE IRA within two years of the first contribution to your SIMPLE IRA may not be made to a Traditional IRA.

## **TRANSFER**

A transfer is a direct movement of assets to your Traditional IRA from any of your other Traditional IRAs or SIMPLE IRAs.

- You may perform an unlimited number of transfers.
- A transfer contribution may not be made from a Roth IRA.
- A transfer contribution may not be made from a SIMPLE IRA within two years of the first contribution to your SIMPLE IRA.

### RECHARACTERIZATION

A recharacterization is the procedure to treat all or a portion of a contribution, conversion, or eligible employer-sponsored retirement plan rollover to a Roth IRA as if it had been made to a Traditional IRA.

- A contribution, conversion, or eligible employer-sponsored retirement plan rollover that is recharacterized must be adjusted for earnings.
- The recharacterization deadline is your tax filing deadline for the year of the original transaction, including extensions.
- Any conversion or eligible employer-sponsored retirement plan rollover to a Roth IRA occurring in tax years beginning on or after January 1, 2018, cannot be recharacterized.

# **SEP CONTRIBUTION**

If you are a participant in your employer's simplified employee pension (SEP) plan, contributions may be made to your Traditional IRA.

- · Your employer may make SEP contributions to your Traditional IRA within the published annual limits.
- If your employer maintains a salary deferral SEP plan, your elective deferrals may not exceed the published annual limit.
- If your employer maintains a salary deferral SEP plan and you are age 50 or older by the end of the calendar year, you may be eligible to make additional catch-up salary deferral contributions.
- SEP contributions to your Traditional IRA are reported for the year in which the contributions are made.